

PTO/SB/98 (08-03)  
Approved for use through 07/31/2006. OMB 0651-0031  
Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**STATEMENT UNDER 37 CFR 3.73(b)**Applicant/Patent Owner: Stephen P. Smith et al.Application No./Patent No.: 10/791,645Filed/Issue Date: 01 March 2004Entitled: **CONTROL SYSTEM FOR ARCHITECTURAL COVERINGS WITH REVERSIBLE DRIVE AND SINGLE OPERATING ELEMENT**Hunter Douglas Inc., a corporation

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest.  
The extent (by, percentage) of its ownership interest is \_\_\_\_\_ %  
in the patent application/patent identified above by virtue of either:

A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

OR

B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the United States Patent and Trademark Office at  
Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.
2. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the United States Patent and Trademark Office at  
Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.
3. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the United States Patent and Trademark Office at  
Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

☒ Copies of assignments or other documents in the chain of title are attached.

[NOTE: A separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to

Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

12/1/04

Date

201-760-4209

Telephone number

Richard C. Gottuso

Typed or printed name

[Signature]

Signature

Vice President and General Counsel

Title

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETE D FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

Joint US Inventors**ASSIGNMENT**

WHEREAS we, **Stephen P. Smith, Wendell B. Colson, and James L. Miller** (the "Inventors"), residing respectively at **560 South Ogden St., Denver, CO 80209, 14 Byron Road, Weston, MA 02493 and 11790 N. Ivy St., Thornton, CO 80233**, are the joint inventors of an invention in ***Control System For Architectural Coverings With Reversible Drive And Single Operating Element*** for which invention we have executed a United States non-provisional patent application bearing serial number **10/791,645** filed on **March 1, 2004** claiming the benefit of United States provisional patent bearing serial number **60/452,222** filed on **March 4, 2003** herewith, and we are entitled to assign our entire right, title and interest world-wide in and to the invention and any intellectual property protection for the invention, including the US patent application and any Canadian patent application therefor; and

WHEREAS Hunter Douglas Inc. (the "Company"), having a place of business at **2 Park Way, Upper Saddle River, New Jersey 07458 USA**, is desirous of obtaining our entire right, title and interest world-wide in and to the invention and any intellectual property protection for the invention, including the US patent application and any Canadian patent application therefor;

NOW THEREFORE, in consideration of the sum of one Dollar (USD 1.00) and other good and valuable consideration, the receipt of which is acknowledged, we hereby sell, assign and transfer to the Company, its successors, legal representatives and assigns, our entire right, title and interest world-wide in and to the invention and all applications for industrial property protection, including, without limitation, all applications for patents, utility models and designs, whether original, divisional, continuation, renewal, reissue, or the like, now on file or subsequently filed, for the invention world-wide, together with the right to file such applications and the right to claim for such applications the priority rights derived from the US patent application under any national or international intellectual property laws or agreements and any industrial property protection including, without limitation, patents, utility models and designs, now or hereafter granted, for the invention world-wide, and any extensions, renewals, reissues or the like thereof.

FURTHER we hereby authorize and request any official of any state, responsible for issuing patents or other evidence or forms of any industrial property protection, to issue the same for the invention to the Company, its successors, legal representatives and assigns, in accordance with this assignment.

ALSO we hereby agree that we have the full right to convey our entire, right, title and interest in and to the invention world-wide and all applications for industrial property protection for the invention world-wide and that we have not executed, and will not execute, any agreement in conflict with this assignment.

MOREOVER we hereby agree that we will communicate to the Company, its successors, legal representatives and assigns any facts known to us respecting the invention and testify in any legal proceeding, sign any lawful papers, execute any original, divisional, continuation and reissue applications, make any rightful oaths, and generally do everything possible to aid the Company, its successors, legal representatives and assigns to obtain and enforce proper protection for the invention world-wide.

FURTHER we hereby agree that this assignment is to be considered effective as of the filing date of the US patent application and we hereby authorize the Company, its successors and assigns, or anyone it may properly designate, to insert in this instrument the serial number of the US patent application.

IN TESTIMONY WHEREOF, we have hereunto set our hands the day, month and year opposite our signatures below.

6/7/04  
(Date)

Stephen P. Smith  
(Inventor : Signature and Name)  
**Stephen P. Smith**

6/7/04  
(Date)

James L. Miller  
(Inventor : Signature and Name)  
**James L. Miller**

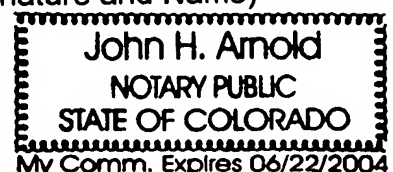
TODAY BEFORE ME, a Notary Public in and for the place stated below, personally appeared **Stephen P. Smith** and **James L. Miller**, to me known and known to me to be the Inventor named above, who signed this assignment and acknowledged it to be his free act and deed.

June 7, 2004  
(Date)

June 22, 2004  
(Commission Expiry Date)

Broomfield, CO  
(Place)

John H. Arnold  
(Notary Public : Signature and Name)  
**John H. Arnold**

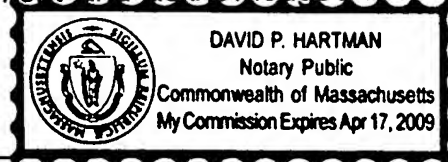


6/15/04  
(Date)

Wendell B. Colson  
(Inventor : Signature and Name)  
**Wendell B. Colson**

TODAY BEFORE ME, a Notary Public in and for the place stated below, personally appeared **Wendell B. Colson**, to me known and known to me to be the Inventor named above, who signed this assignment and acknowledged it to be their free act and deed.

6/15/04  
(Date)



(Commission Expiry Date)

Natick MA  
(Place)

David P. Hartman  
(Notary Public : Signature and Name)  
**David P. Hartman**

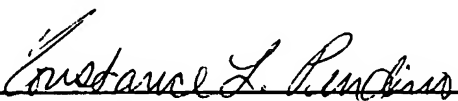
THE COMPANY hereby acknowledges the previous statements of the Inventors and accepts their assignment.

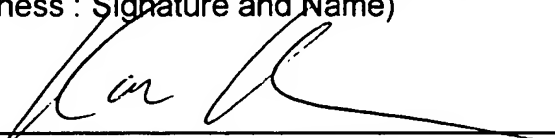
IN TESTIMONY WHEREOF, the Company, by its undersigned Officer, sets its hand the day, month and year opposite its signature.

6/22/04  
(Date)

HyperSaddle River, N.J.  
(Place)

  
(Officer of Company : Signature and Name)  
**Richard C. Gottuso**

  
(Witness : Signature and Name)

  
(Witness : Signature and Name)

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**  
**POWER OF ATTORNEY**

Docket No.

2075/US/2

Name of Applicant: **Stephen P. Smith et al.**

Address of Applicant:

Title: **CONTROL SYSTEM FOR ARCHITECTURAL COVERINGS WITH REVERSIBLE  
DRIVE AND SINGLE OPERATING ELEMENT**

Serial No., if Any: **10/791,645**

Filed: **01 March 2004**

TO THE COMMISSIONER FOR PATENTS

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Honorable Sir:  
I hereby appoint:

**Dorsey & Whitney LLP - USPTO Customer No. 20686**

as principal attorneys to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith.

Please direct all future correspondence to:

USPTO Customer No. 20686  
**DORSEY & WHITNEY LLP**  
370 Seventeenth Street, Suite 4700  
Denver, Colorado 80202-5647  
Tel.: (303) 629-3400  
Fax: (303) 629-3450

By: 

Name: **Richard C. Gottuso**  
Title: **Vice President and General Counsel  
of Hunter Douglas Inc.**

Dated: 12/1/04

EV447464104US

Express Mail Label No. EV 447 464 104 US  
Attorney Docket No. 2075/US/2



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Re Patent Application of:

Stephen P. Smith et al.

Appln. No.: 10/791,645

Filed: 01 March 2004

For: CONTROL SYSTEM FOR  
ARCHITECTURAL COVERINGS  
WITH REVERSIBLE DRIVE AND  
SINGLE OPERATING ELEMENT

Confirmation No.: 2864

Group Art Unit: 3634

Examiner: Not Yet Assigned

**CERTIFICATE OF MAILING BY EXPRESS MAIL**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

The undersigned hereby certifies that the following documents:

1. Power of Attorney (1 page);
2. Statement Under 37 CFR 3.73(b) and photocopy of executed assignment from Stephen P. Smith, Wendell B. Colson, and James L. Miller to Hunter Douglas Inc. (5 pages);
3. Certificate of Mailing by Express Mail (1 page); and
4. Return Card,

relating to the above application, were deposited as "Express Mail," under 37 CFR § 1.10, Mailing Label No. EV 447 464 104 US with the United States Postal Service, addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on this 8 day of December 2004.

Mailer

Signature of Mailer

DORSEY & WHITNEY LLP  
USPTO Customer No. 20686